

WWELL73.009C1



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Stett, et al.  
Appl. No. : 10/825,071  
Filed : April 15, 2004  
For : ELECTRODE ARRANGEMENT  
FOR ELECTRICAL  
STIMULATION OF BIOLOGICAL  
MATERIAL, AND A MULTI-  
ELECTRODE ARRAY FOR USE  
IN SUCH AN ELECTRODE  
ARRANGEMENT  
Examiner : Shevon E. Johnson  
Group Art Unit : 3766

CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

December 7, 2006

(Date)

John M. Carson, Reg. No. 34,303

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

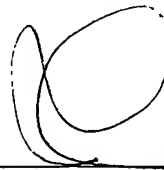
Dear Sir:

Transmitted herewith is a Request to Correct Inventorship for the above-identified application. Enclosed are:

- (X) A return prepaid postcard.
- (X) A check in the amount of \$130 to cover the fee required by C.F.R. § 1.17(i).
- (X) A Request to Correct Inventorship Under C.F.R. § 1.48(a) in (2) pages.
- (X) A Statement from Eberhart Zrenner in 1 page.
- (X) A Declaration by the actual inventors in (3) pages.
- (X) Consent of Assignee Under 37 U.S.C. § 1.48(a)(5) to add inventor in (2) pages.
- (X) Assignment of rights from Eberhart Zrenner to Assignee in (1) page.

(X) Please charge any additional fees, including any fees for extension of time, or credit overpayment to Deposit Account No. 11-1410.

Dated: 12/7/06

By:  \_\_\_\_\_

John M. Carson  
Registration No. 34,303  
Attorney of Record  
Customer No. 20,995  
(619) 235-8550

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120606

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John M. Carson, Reg. No. 34,303

REQUEST TO CORRECT INVENTORSHIP

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This communication is a request to correct the original naming of inventors under 37 C.F.R. § 1.48 as set forth in the original declaration filed on August 27, 2004 in the above-named application.

Please add the following person as an inventor of this application.

Eberhart Zrenner  
Jasminweg 23  
72076 Tübingen, Germany

Attached is the Statement of Eberhart Zrenner under 37 C.F.R. § 1.48(a)(2) that the error in inventorship occurred without deceptive intention on his part, a new declaration by the actual inventor pursuant to 37 C.F.R. § 1.48(a)(3), and the written consent of the assignee pursuant to 37 C.F.R. § 1.48(a)(5).

12/12/2006 FHEK11 00000075 10825071

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Appl. No. : 10/825,071  
Filed : April 15, 2004

The required fee payment (37 C.F.R. § 1.1.17(i)) is enclosed. Please charge any additional fees to Deposit Account No. 11-1410.

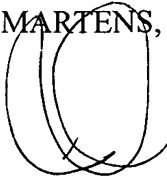
Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

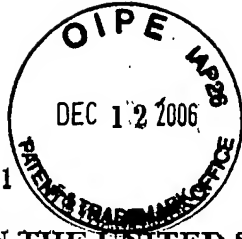
Dated: \_\_\_\_\_

12/7/06

By: \_\_\_\_\_

  
John M. Carson  
Registration No. 34,303  
Attorney of Record  
Customer Number 20,995  
(619) 235-8550

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WWELL73.009C1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Stett, et al.  
Appl. No. : 10/825,071  
Filed : April 15, 2004  
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STIMULATION OF  
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A MULTI-ELECTRODE ARRAY  
FOR USE IN SUCH AN  
ELECTRODE ARRANGEMENT  
Examiner : Unassigned  
Group Art Unit : 3762

STATEMENT OF EBERHART ZRENNER

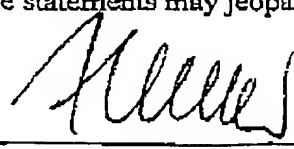
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I, Eberhart Zrenner, declare as follows:

1. I am a German citizen, residing at Jasminweg 23, 72076 Tübingen, Germany.
2. I was not listed as the inventor of the subject matter claimed in the above-referenced application.
3. I believe that I should be named as an inventor, and that my exclusion as a named inventor when the application was filed was due to an inadvertent error and without deceptive intention.
4. I declare that all statements made herein are true, and that all statements made upon information and belief are believed to be true, and further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that willful, false statements may jeopardize the validity of the above-referenced patent.

Dated: April 3, 2006

Signed:   
Eberhart Zrenner

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Stett, et al.
Appl. No.	:	10/825,071
Filed	:	April 15, 2004
For	:	ELECTRODE ARRANGEMENT FOR ELECTRICAL STIMULATION OF BIOLOGICAL MATERIAL, AND A MULTI-ELECTRODE ARRAY FOR USE IN SUCH AN ELECTRODE ARRANGEMENT
Examiner	:	Unassigned
Group Art Unit	:	3762

ESTABLISHMENT OF RIGHT OF ASSIGNEE TO TAKE ACTION AND  
CONSENT OF ASSIGNEE UNDER 37 CFR § 1.48

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The undersigned is empowered to act on behalf of the assignee below (the "Assignee").

An assignment of United States Application No. 10/825,071 from Alfred Stett, Wilfried Nisch and Martin Stelzle to Assignee was recorded on December 1, 2004 at Reel 015420, Frame 0690 in the U.S. Patent and Trademark Office. A true copy of the original Assignment of United States Application No. 10/825,071 from Eberhart Zrenner to Assignee is attached hereto. These Assignments represent the entire chain of title of this invention from the inventors to the Assignee.

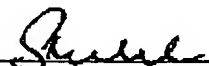
I declare that all statements made herein are true, and that all statements made upon information and belief are believed to be true, and further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or

imprisonment, or both, under 18 U.S.C. § 1001, and that willful, false statements may jeopardize the validity of the application, or any patent issuing thereon.

The undersigned hereby consents to the change in inventorship adding Eberhart Zrenner to the above-referenced patent.

Assignee: Eberhard-Karls-Universitaet Tuebingen  
Universitaetsklinikum

Dated: 20.03.06

By: 

Title: Strehl  
Kaufmännischer Direktor

Address: Geissweg 3  
72076 Tübingen  
Germany

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ASSIGNMENT

WHEREAS, I, Eberhart Zrenner, a German citizen, residing at Jasminweg 23, 72076 Tübingen, Germany, and my co-inventors Alfred Stett, a German citizen, residing at Matheus-Wagner-Str. 43/4, 72770 Reutlingen, Germany, Wilfried Nisch, a German citizen, residing at Schleifmühlweg 14, 72070 Tübingen, Germany, and Martin Stelzle, a German citizen, residing at Rosnetstraße 20/6, 72776 Reutlingen, Germany, hereinafter referred to as Assignor (collectively if more than one inventor is listed above), have invented certain new and useful improvements in **ELECTRODE ARRANGEMENT FOR ELECTRICAL STIMULATION OF BIOLOGICAL MATERIAL, AND A MULTI-ELECTRODE ARRAY FOR USE IN SUCH AN ELECTRODE ARRANGEMENT**, the specification of which:

- (a) ☐ was executed on even date herewith;
- (b) ☒ was filed on April 15, 2004, Application No. 10/825,071; or
- (c) ☐ was described and claimed in PCT International Application No. \_\_\_\_\_ Filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_ (if any) and/or under PCT Article 34 on \_\_\_\_\_ (if any).

AND WHEREAS, Eberhard-Karls-Universität Tübingen Universitätsklinikum, with its principal place of business at Geissweg 3, 72076 Tübingen, Germany, (hereinafter referred to as Assignee) desires to acquire the entire right, title, and interest in and to the said improvements with respect to the United States of America, its territories and possessions.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby acknowledges that it has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title, and interest in the United States of America, and its territories and possessions in, to and under said improvements, and any Patent Applications in the United States of America and all divisions, renewals and continuations thereof, and all Patents of the United States of America which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions; and Assignor hereby authorizes and requests the Commissioner of Patents of the United States of America to issue all Patents for said improvements to Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR HEREBY covenants and agrees that it will communicate to Assignee, its successors, legal representatives and assigns, any facts known to it respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in the United States of America.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed its signature.

This 3 day of April, 2006

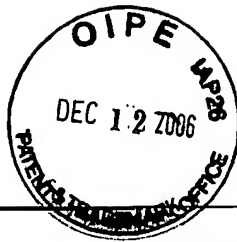
  
Signature of Eberhart Zrenner

Witnessed by:

  
Dr. Hajo Otten

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### DECLARATION - USA PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **ELECTRODE ARRANGEMENT FOR ELECTRICAL STIMULATION OF BIOLOGICAL MATERIAL, AND A MULTI-ELECTRODE ARRAY FOR USE IN SUCH AN ELECTRODE ARRANGEMENT**; the specification of which was filed in the United States on April 15, 2004, Application No. 10/825,071;

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims;

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56;

I hereby claim foreign priority benefits under Title 35, United States Code, §§ 119(a)-(d) or 365(a)-(b) of any foreign or international application(s) for patent or inventor's certificate listed below and have also identified below any foreign or international application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

#### PRIOR FOREIGN APPLICATION(S)

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day/month/year)	PRIORITY CLAIMED UNDER 35 U.S.C. §§ 119(a)-(d) or 365(a)-(b)
Germany	DE 101 51 650.9	17 October 2001	Yes

I hereby claim the benefit under Title 35, United States Code, §§ 120 or 365(c) of any United States or international application(s) listed below, and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

PRIOR U.S. APPLICATION

U.S. APPLICATION NUMBER OR INTERNATIONAL APPLICATION NUMBER DESIGNATING THE UNITED STATES	DATE OF THE U.S APPLICATION FILING OR INTERNATIONAL APPLICATION FILING (day/month/year)	PRIORITY CLAIMED UNDER 35 U.S.C. §§ 120 AND 365(c)
PCT/EP02/10972	1 October 2002	Yes

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.

Name of first inventor: **Alfred Stett**

Inventor's signature 


Date April 3, 2006

Residence: **Matheus-Wagner-Str. 43/4, 72770 Reutlingen, Germany**

Citizenship: **German**

Post Office Address: **Same as Above**

Name of second inventor: **Wilfried Nisch**

Inventor's signature 

Date April 3, 2006


Residence: **Schlöfsmuehlweg 14, 72070 Tuebingen, Germany**

Citizenship: **German**

Post Office Address: **Same as Above**

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Name of third inventor: **Martin Stelzle**

Inventor's signature 

Date April 3, 2006

Residence: **Rosnetstraße 20/6, 72776 Reutlingen, Germany**

Citizenship: **German**

Post Office Address: **Same as Above**

Name of fourth inventor: **Eberhart Zfennner**

Inventor's signature 

Date April 3, 2006

Residence: **Jasminweg 23, 72076 Tübingen, Germany**

Citizenship: **German**

Post Office Address: **Same as Above**

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Send Correspondence To:  
**KNOBBE, MARTENS, OLSON & BEAR, LLP**  
**Customer No. 20,995**